11-11-02

PTO/SB/05 (11-00)
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## UTILITY **PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| Attomey Docket No.     | 210121.489C2   |          |
|------------------------|--|----------|
| First Inventor         | David A. Johnson   | 7        |
| Title                  | AMINOALKYL GLUCOSAMINIDE<br>PHOSPHATE COMPOUNDS AND THEIR<br>USE AS ADJUVANTS AND<br>IMMUNOEFFECTORS | <u> </u> |
| Express Mail Label No. | EV064843755US  | 1 11 1   |

|   |                                      |  |   |  |                                       | •                                  | • |
|---|--------------------------------------|--|---|--|---------------------------------------|------------------------------------|---|
| 1. []   |                                      | nittal Form (e.g., PTO/SB/17) riginal and a duplicate for fee processing)  | 7.  |  | CD-ROM or CD-R<br>Computer Program    | in duplicate, large table or       |   |
| 2. 🗍  | Applicant cl                         | laims small entity status.   |   | Π  | Nucleotide and/or Amino Acid Sequence |                                    |   |
|   | See 37 CFF                           | •  |   | _  | Submission (if app                    | olicable, all necessary)           |   |
| 3. 🛚  | Specificatio                         | n [Total Pages <u>185</u> ]  |   | a. [   | Computer Rea                          | dable Form (CRF)                   |   |
|   |                                      | rrangement set forth below)  |   | b. [   | Specification S                       | Sequence Listing on:               |   |
|   | •                                    | title of the Invention   |   | i.   |                                       | CD-R (2 copies); or                |   |
|   |                                      | rence to Related Applications  |   | įi.  |                                       |                                    |   |
|   | - Statement R                        | Regarding Fed sponsored R & D  |   | c. Statements verifying identity of above copies                           |                                       |                                    |   |
|   |                                      | o sequence listing, a table,   |   | ACCOMPANYING APPLICATION PARTS   |                                       |                                    |   |
|   | •                                    | uter program listing appendix  |   | 9. Assignment Papers (cover sheet & document(s))                           |                                       |                                    |   |
|   | - Background of the Invention        |  |   | 10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney |                                       |                                    |   |
| Brief Summary of the Invention     Brief Description of the Drawings ( if filed)                                  |                                      |  | 11.   | П  | •                                     | n Document (if applicable)         |   |
|   | - Detailed De                        |  | 12  | =  | Information Disclo                    |                                    |   |
| - Claim(s)  |                                      |  | '-  | Statement (IDS)/PTO-1449 Citations   |                                       |                                    |   |
|   | • • •                                | the Disclosure   | 13.   | .П.  | Preliminary Amendment                 |                                    |   |
| 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets <u>4</u> ]  |                                      |  | . 🗖   | Return Receipt Postcard (MPEP 503)   |                                       |                                    |   |
| 5. Oath or Declaration [Total Sheets _]   |                                      |  |   | Should be specifically itemized)   |                                       |                                    |   |
| a.  | a. Newly executed (original or copy) |  | 15  | . []   |                                       | Priority Document(s)               |   |
| b. Copy from a prior application (37 CFR 1.63 (d))  |                                      | '  |   | (if foreign priority is claimed)   |                                       |                                    |   |
| (for a continuation/divisional with Box 18 completed)   |                                      | 16   | 16. Request and Certification under 35 U.S.C. |  |                                       |                                    |   |
|   |                                      | ETION OF INVENTOR(S)   |   | 122(b)(2)(B)(i). Applicant must attach form                                |                                       |                                    |   |
| Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). |                                      |  | 147   | PTO/SB/35 or its equivalent.  17. ☐ Other: _                               |                                       |                                    |   |
|   |                                      | ''   | 17.1 Other.                                   |  |                                       |                                    |   |
| 6. Application Data Sheet. See 37 CFR 1.76  |                                      |  |   |  |                                       |                                    |   |
| 18.   | If a CONTI                           | NUING APPLICATION OR APPLICATION C   | LATRING                                       | EODE   | ICN PRIORITY chack                    | annonriate have and supply the     | - |
|   |                                      | elow and in a preliminary amendment, or in a                               |   |  |                                       |                                    |   |
|   | Continuation                         | n 🗌 Divisional 🗵 Continu   | ation-in-                                     | part (C  | IP) 🛛 Claims p                        | riority from US Patent Application |   |
|   |                                      |  |   |  | No. <u>09/</u> 9                      | 905,160 filed July 12, 2001        |   |
| Prior application information Examiner  |                                      |  |   |  |                                       | Group Art Unit: 1648               |   |
| For   | CONTINUATIO                          | N or DIVISIONAL APPS only: The ent   | ire disc                                      | losur  | e of the prior appli                  | cation, from which an oath or      |   |
| dec   | laration is supp                     | plied under Box 5b, is considered a plion and is hereby incorporated by re | art of ti                                     | ne dis   | closure of the acc                    | ompanying continuation or          |   |
|   |                                      | nadvertently omitted from the submit                                       |   |  |                                       | only be relied upon when a         |   |
|   |                                      | 19. CORRESP  |   |  |                                       |                                    | _ |
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| Nam   | e (Print/Tyne)                       | Karl R. Hermanns   |   |  | istration No.                         | 33,507                             |   |
| Name (Print/Type) Karl R. Hermanns  |                                      |  | (Atto   | orney/Agent)   |                                       |                                    |   |
| Signature   |                                      |  | Date  | 1/8/02   | January 8, 2002                       |                                    |   |
|   |                                      |  |   | <u> </u>   | '   -                                 |                                    | - |

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